

致理科技大學研究人員學術倫理案件處理要點
Regulation of Ethics for Faculty and Staff of Chihlee University of
Technology

106.10.05 106 學年度第 3 次行政會議通過

111.08.17 111 學年度第 1 次行政會議修正

一、為落實學術倫理之管理並公正處理本校研究人員違反學術倫理案件，特依據國家科學及技術委員會(以下簡稱國科會)學術倫理案件處理及審議要點之規定，訂定本要點。本要點之負責單位為本校研究發展處產學營運中心。

一: Purpose

In order to implement the management of academic ethics and fairly handle cases of academic ethics violations by researchers at this university, these guidelines are established in accordance with the regulations set forth by the National Science and Technology Council (hereinafter referred to as the NSTC) for handling and reviewing academic ethics cases. The responsible unit for these guidelines is the Industry-Academia Collaboration Center under the Research and Development Office.

二、本要點適用於本校正在申請或已獲國科會相關之學術獎勵、專題研究計畫及其他補助之研究人員。

二: Scope:

These guidelines apply to researchers at this university who are applying for or have received academic awards, research projects, and other funding from the NSTC.

三、本要點所稱研究人員，指下列人員：

三: Definition of Researchers:

For the purposes of these guidelines, "researchers" refers to the following individuals:

(一) 擔任計畫之專兼任研究員及研究助理

1. Full-time or part-time researchers and research assistants involved in the project.

(二) 擔任計畫之臨時工作人員

2. Temporary staff involved in the project.

四、本要點所稱違反學術倫理，係指下列情形之一：

四: Violations of Academic Ethics:

A violation of academic ethics refers to any of the following situations:

(一) 造假：虛構不存在之申請資料、研究資料或研究成果。

1. Fabrication: Creating non-existent application data, research data, or research outcomes.

(二) 變造：不實變更申請資料、研究資料或研究成果。

2. Falsification: Altering or misrepresenting application data, research data, or research outcomes.

(三) 抄襲：援用他人之申請資料、研究資料或研究成果未註明出處。註明出處不當情節重大者，以抄襲論。

3. Plagiarism: Using others' application data, research data, or research results without proper citation. If the citation is incorrect and the situation is severe, it shall be considered as plagiarism.

(四) 隱匿其部分內容為已發表之成果或著作。

4. Concealing part of the content as previously published outcomes or works.

(五) 未經註明而重複發表，致研究成果重複計算。

5. Repeatedly publishing without proper citation, resulting in duplicate counting of research outcomes.

(六) 研究計畫或論文大幅引用自己已發表之著作，未適當引註。

6. A research proposal or paper extensively citing one's own previously published works without proper citation.

(七) 以違法或不當手段影響論文審查。

7. Using illegal or improper means to influence the review of papers.

五、違反學術倫理之處理程序：

五: Procedure for Handling Violations of Academic Ethics:

(一) 產學營運中心發覺或接獲檢舉有研究人員違反學術倫理案件，應簽請校長依個案成立「違反學術倫理案件調查小組」(以下簡稱調查小組)，由校長指定委員 5 至 7 人組成，並由研發長擔任召集人，其中研發長及違反學術倫理人員所屬學院院長或其單位主管為當然委員。

1. If the Industry-Academia Collaboration Center discovers or receives a report of a violation of academic ethics, it should request the president to establish an "Academic Ethics Violation Investigation Team" (hereinafter referred to as the "Investigation Team") for the specific case. The president will appoint 5 to 7 members to form the team, with the Director of the Research and Development Office serving as the convener. The Dean of the College to which the violator belongs or their unit supervisor will be ex-officio members.

(二) 調查小組成立後，應即召開會議調查，擬訂全案處理時程。

2. Upon formation, the Investigation Team should immediately hold a meeting to

investigate the case and develop a timeline for handling the case.

(三) 調查小組應彙整委員意見做成調查報告，如違反學術倫理人員為本校學生，應送教學發展處依本校相關規定進行懲處。如違反學術倫理人員為本校行政人員或校外人士則予以解聘其專案計畫職務，不受進用契約限制，且本校不予支付資遣費。

3. The Investigation Team should consolidate the opinions of the members and prepare an investigation report. If the individual in violation is a student, the case should be referred to the Teaching and Development Office for disciplinary action in accordance with the university's regulations. If the individual is an administrative staff member or an external individual, their involvement in the project should be terminated, and they should be released from the contract. Additionally, the university will not pay severance.

(四) 調查小組處理違反學術倫理案件，自該小組組成起，應於 3 個月內完成調查報告；必要時得予延長，但延長期間不得逾 1 個月。

4. The Investigation Team must complete the investigation report within 3 months from the formation of the team. If necessary, an extension may be granted, but the extension period must not exceed 1 month.

六、調查小組人員處理違反學術倫理案件，應本主動、及時、公正、專業、保密方法處理，並對善意檢舉人注意保密及保護責任。

六: Handling of Academic Ethics

Violations: Members of the Investigation Team handling violations of academic ethics should do so proactively, promptly, fairly, professionally, and confidentially. They are also responsible for maintaining confidentiality and protecting the identity of those making good-faith reports.

七、本規範未盡事宜悉依國科會或本校相關規定辦理。

七: Matters Not Covered:

Any matters not addressed in these guidelines shall be handled in accordance with the relevant regulations of the NSTC or the university.

八、本要點經本校行政會議通過，陳請校長核定後實施，修正時亦同。

八: Implementation and Revisions

These guidelines are effective upon approval by the university's Administrative Meeting and formal endorsement by the president. Any amendments will follow the same approval and announcement procedure.